## Algebraic Analysis for beginners

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University of Padua

The Abel lectures, Oslo, 21 May 2025

# A beautiful picture





Children are taught how to find a solution.





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Question: can there be multiple solutions?



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Drawing of crane and turtle from en.ac-illust.com



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Drawing of crane and turtle from en.ac-illust.com Drawing of duck from www.emilydrawing.com



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Some cranes and turtles dwell around a pond. There are 18 legs and 7 heads in total. How many cranes and how many turtles are there?

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It's your turn to fold the laundry. There is a total of 18 socks. How many pairs of socks are there?



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**Claim:** the **Question** gets the same answer for these two problems.

Why? How are the two problems related?





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Abelian groups

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$$(P,F) \qquad \overbrace{\ell,h,c,t \in F:}_{\text{cranes and turtles}} \begin{cases} 2 \cdot c + 4 \cdot t = \ell \\ 1 \cdot c + 1 \cdot t = h \end{cases} \quad P = \begin{pmatrix} 2 & 4 \\ 1 & 1 \end{pmatrix} \text{ coefficients.}$$



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**Question:** for given  $\ell$ , h in F, for  $\ell = h = 0$ , does the linear system (P, F) have multiple solutions?



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# Systems of linear equations (continued) Abelian groups

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**Answer:** since (Q, F) has a unique solution, so does (P, F).



## A categorical remark



Henri Poincaré (1854–1912)

Les mathématiciens n'étudient pas des objets, mais des relations entre les objets; il leur est donc indifférent de remplacer ces objets par d'autres, pourvu que les relations ne changent pas.

Mathematicians do not study objects, but relations between objects; it is thus indifferent to them to replace these objects by others, as long as the relations do not change.

Portrait by Eugène Pirou circa 1900, Musée d'Orsay, Paris. Permission requested.

H. Poincaré, La Science et l'Hypothèse, (1902).

Modules over a ring

 $\mathbb{Z} \text{ is a ring: a set with } +, -, \widehat{\cdot}.$ 



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*F*,  $M_P$  are  $\mathbb{Z}$ -modules: sets with +, -, and product by ring elements.



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R: a ring, F a  $\widehat{left}$  R-module.

$$u_1, \ldots, u_N \in \mathbf{F}$$
:  $\begin{cases} \sum_{j=1}^N P_{ij} \, u_j = 0 \\ (i=1,\ldots,N') \end{cases} \mathbf{P} = (P_{ij}) \text{ coefficients in } R. \end{cases}$ 



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Fact: 
$$Hom_R(M_P, F) \simeq \{u \in F^N : P \cdot u = 0\}$$
. Will reappear often.



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 $\begin{array}{l} \underset{\text{morphisms of }R-\text{modules}}{\text{morphisms of }R-\text{modules}} \underbrace{\underbrace{\text{solutions of }(P,F)}_{\text{solutions of }(P,F)} \simeq \underbrace{\{u \in F^N : P \cdot u = 0\}}_{\text{solutions of }R} \\ \text{Fact: } \underset{R}{\text{Hom}_R(M_P,F)} \simeq \underbrace{\{u \in F^N : P \cdot u = 0\}}_{\text{solutions of }R} \\ \text{Froof: } M_P = \operatorname{coker}(R^{N'} \xrightarrow{\cdot P} R^N) \implies \operatorname{Hom}_R(M_P,F) \simeq \operatorname{ker}(F^N \xrightarrow{P} F^{N'}). \end{array}$ 



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**Corollary:**  $M_P \simeq M_Q \implies Pu = 0$  and Qv = 0 have interchangeable solutions.



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## **D**-modules

Let us consider linear differential equations, like:





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G.B. Airy, On the Intensity of Light in the neighbourhood of a Caustic, (1838). Photo of rainbows from commons.wikimedia.org

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Write: 
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Let **D** be the ring of *polynomials* in  $x, \partial$ .



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For  $P = \partial^2 - x$  in  $\mathcal{D}$ , Airy's equation is written

*P u* = 0



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G.B. Airy, On the Intensity of Light in the neighbourhood of a Caustic, (1838). Photo of rainbows from commons.wikimedia.org

#### An impressive Master's thesis

Let  $\ensuremath{\mathcal{D}}$  be the sheaf of rings of partial differential operators. The systems with coefficients



 $P=(P_{ij}), \quad Q=(Q_{\lambda\mu}),$ 

correspond to the modules

$$\underbrace{\mathcal{D}^{N}/\mathcal{D}^{N'}P}_{\mathcal{M}_{P}}, \quad \underbrace{\mathcal{D}^{R}/\mathcal{D}^{R'}Q}_{\mathcal{M}_{Q}}.$$

If the modules are isomorphic, the systems' solutions are interchanged using differential operators.

What is essential is the D-module  $\mathcal{M}_P$ , not its presentation  $\sum P_{ij}u_j = 0$ .

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curved space





Picture of asteroid Donaldjohanson on 20 April 2025 from science.nasa.gov

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 $\mathcal{O}_X$ : sheaf of holomorphic functions on X.

 $\mathcal{D}_X$ : sheaf of partial differential operators on X i.e., locally, *polynomials* in a(x),  $\partial_i = \frac{\partial}{\partial x_i}$  (i = 1, ..., n).





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position momentum  $X \ni x$ ,  $T^*X \ni (\widehat{x}; \widehat{\xi})$  cotangent bundle (phase space).



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 $X \ni x, \quad T^*X \ni (\widehat{x}; \widehat{\xi}) \text{ cotangent bundle (phase space).}$   $\mathcal{D}_X \ni P \qquad = \sum_{\alpha_1 + \dots + \alpha_n \le m} a_\alpha(x) \partial_1^{\alpha_1} \cdots \partial_n^{\alpha_n}$   $\mathcal{O}_{T^*X} \ni \sigma(P) \qquad = \sum_{\alpha_1 + \dots + \alpha_n = m} a_\alpha(x) \xi_1^{\alpha_1} \cdots \xi_n^{\alpha_n} \text{ principal symbol.}$ 



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 $X \ni x, \quad T^*X \ni (\widehat{x}; \widehat{\xi}) \text{ cotangent bundle (phase space).}$  $\mathcal{D}_X \ni P \qquad = \sum_{\alpha_1 + \dots + \alpha_n \leq m} a_\alpha(x) \partial_1^{\alpha_1} \cdots \partial_n^{\alpha_n}$  $\mathcal{O}_{T^*X} \ni \sigma(P) \qquad = \sum_{\alpha_1 + \dots + \alpha_n = m} a_\alpha(x) \xi_1^{\alpha_1} \cdots \xi_n^{\alpha_n} \text{ principal symbol.}$ 

 $\mathcal{E}_X$  sheaf of microdifferential operators on  $T^*X$ :

the localization of  $\mathcal{D}_X$  by  $\{P : \sigma(P) \neq 0\}$  (e.g.  $\partial_i^{-1}$  is defined on  $\xi_i \neq 0$ ).



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$$\operatorname{char}(\mathcal{O}_{X}) = \underbrace{X \subset T^{*}X \iff \mathcal{O}_{X} \simeq}_{|oc} \mathcal{M}_{Q} = \mathcal{D}_{X}/\mathcal{D}_{X}^{n}Q \quad \text{for } Q = \left( \begin{array}{c} \partial_{1} \\ \vdots \\ \partial_{r} \end{array} \right)$$



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A microlocal perspective: Involutivity

system of PDEs

 $\mathcal{M}$  coherent:  $\mathcal{D}_X$ -module with  $\mathcal{M} \simeq \mathcal{M}_P \quad \exists P = \widetilde{(P_{ij})}.$ 

M. Sato, T. Kawai and M. Kashiwara, Microfunctions and pseudo-differential equations, (1973) 🖬 + ( 🗇 + ( 🖻 + ( 🥃 + ( 🛓 - つくへ))

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> We received the final manuscript in June, 1971 but have postponed the publication because the authors had the intention of adding an introduction to the paper. Since we do not think it appropriate to wait for it forever, we have decided to publish this part in the present form. December 28, 1972

> > Hikosaburo Komatsu

From the introduction to the Lecture Notes.

## Riemann-Hilbert problem

#### a.k.a. Hilbert's 21st problem

"... an important problem, which Riemann probably already had in mind ...."



B. Riemann (1826–1866)

21. Beweis der Existenz linearer Differentialgleichungen mit vorgeschriebener Monodromiegruppe.

Aus der Theorie der linearen Differentialgleichungen mit einer unabhängigen Veränderlichen s möchte ich auf ein wichtiges Problem hinweisen, welches wohl bereits Riemann im Sinne gehabt hat, und welches darin besteht, zu zeigen, daß es stets eine lineare Differentialgleichung der Fuchsschen Klasse mit gegebenen singulären Stellen und einer gegebenen Monodromiegruppe giebt.



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**Problem:** to find a regular ordinary differential equation whose holomorphic solutions have prescribed monodromy around the singular points.

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# Holomorphic solutions of $\mathcal{D}\text{-}\mathsf{modules}$

X complex manifold,  $\dim X = n$ .



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 $\mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X) \simeq_{\mathsf{loc}} \{ u \in \mathcal{O}_X^N \colon P \cdot u = 0 \}$ : holomorphic solutions.



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Coin with head of Janus (circa 225-212 BCE) from www.cngcoins.com

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**Theorem:**  $\mathcal{M}$  coherent, char $(\mathcal{M}) \subset X \implies \mathcal{M} \underset{loc}{\cong} \mathcal{O}_X^m$  for some m.



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# Singularities of $\mathcal{D}\text{-}\mathsf{modules}$

 $\mathcal{M}$  coherent  $\rightsquigarrow$  char $(\mathcal{M}) \subset T^*X \ni (x; \xi)$ .



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Singularities of  $\mathcal{M} = \{x \in X : \exists \xi \neq 0, (x; \xi) \in char(\mathcal{M})\}.$ 



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 $\mathcal{M}$  non singular

**Recall:**  $\widetilde{\operatorname{char}(\mathcal{M}) \subset X} \implies \mathcal{H}om_{\mathcal{D}_X}(\mathcal{M}, \mathcal{O}_X) \underset{\mathsf{loc}}{\simeq} \mathbb{C}_X^m$  for some m.



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Local systems:  $\mathbb{C}_X$ -modules  $L \simeq \mathbb{C}_X^m$  for some m.



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 $X=\mathbb{C}\setminus\{0\}$ ,





$$X = \mathbb{C} \setminus \{0\}, \quad P_{\lambda} = x\partial - \widehat{\lambda},$$





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 $X = \mathbb{C} \setminus \{0\}, \quad \begin{array}{c} P_{\lambda} = x\partial - \widehat{\lambda}, \\ \end{array} \text{ char}(\mathcal{M}_{P}) = \overbrace{\{x\xi = 0\}}^{\text{singularity}} \{x = 0\} \text{ excluded}$ 





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$$\begin{split} & \underset{\substack{\mathsf{in } \mathbb{C} \\ X = \mathbb{C} \setminus \{0\}, \quad P_{\lambda} = x\partial - \widehat{\lambda}, \quad \mathsf{char}(\mathcal{M}_{\mathcal{P}}) = \overbrace{\{x\xi = 0\}}^{\mathsf{ingularity}} \{x = 0\} \text{ excluded} \\ & \underbrace{\mathsf{L}_{\mathcal{P}_{\lambda}}}_{\substack{\mathsf{L}_{\mathcal{P}_{\lambda}}} = \mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{\mathcal{P}_{\lambda}}, \mathcal{O}_{X}) \simeq \{u_{\lambda} \in \mathcal{O}_{X} : \mathcal{P}_{\lambda} u_{\lambda} = 0\}. \end{split}$$





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$$L_{P_{\lambda}} \simeq \mathbb{C}_{X}$$





$$\begin{array}{rcl} & & & \text{in } \mathbb{C} & & \text{singularity } \{x = 0\} \text{ excluded} \\ X = \mathbb{C} \setminus \{0\}, & P_{\lambda} = x\partial - \widehat{\lambda}, & \text{char}(\mathcal{M}_{P}) = \widetilde{\{x\xi = 0\}} \\ \\ \underbrace{L_{P_{\lambda}}}_{\text{local system}} = \mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{P_{\lambda}}, \mathcal{O}_{X}) \simeq \{u_{\lambda} \in \mathcal{O}_{X} : P_{\lambda} u_{\lambda} = 0\}. \\ \\ \hline \text{local determination} \\ \\ L_{P_{\lambda}} \underset{\text{loc}}{\simeq} \mathbb{C}_{X} & \longleftarrow & u_{\lambda}(x) = c \ \widehat{x^{\lambda}} \text{ by Cauchy-Kovalevskaya.} \end{array}$$





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 $L_{P_{\lambda}}$  has monodromy  $\mu = e^{2\pi i \lambda}$ :

c gets multiplied by  $\mu$  after a loop around {0}.





$$\lim_{n \in \mathbb{C}} \sup \left\{ x = 0 \right\} excluded$$

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$$\operatorname{local \ system} \qquad \operatorname{local \ determination}$$

$$L_{P_{\lambda}} \underset{loc}{\simeq} \mathbb{C}_{X} \quad \Leftarrow \quad u_{\lambda}(x) = c \quad \widehat{x^{\lambda}} \quad \text{by \ Cauchy-Kovalevskaya}.$$

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$$\operatorname{Example:} \quad \begin{cases} \lambda = \frac{1}{2} \\ \mu = -1 \\ c = 1 \end{cases}$$





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$$\lim_{n \in \mathbb{C}} \mathbb{C} \sup \{x = 0\} \text{ excluded}$$

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#### Riemann-Hilbert problem

#### a.k.a. Hilbert's 21st problem

"... an important problem, which Riemann probably already had in mind ...."



B. Riemann (1826–1866)

21. Beweis der Existenz linearer Differentialgleichungen mit vorgeschriebener Monodromiegruppe.

Aus der Theorie der linearen Differentialgleichungen mit einer unabhängigen Veränderlichen s möchte ich auf ein wichtiges Problem hinweisen, welches wohl bereits Riemann im Sinne gehabt hat, und welches darin besteht, zu zeigen, daß es stets eine lineare Differentialgleichung der Fuchsschen Klasse mit gegebenen singulären Stellen und einer gegebenen Monodromiegruppe giebt.



D. Hilbert (1862–1943)

**Problem:** to find a **regular** ordinary differential equation whose holomorphic solutions have prescribed monodromy around the singular points.

D. Hilbert, *Mathematische Probleme*, (1900). Portraits from commons.wikimedia.org

# Regularity of $\mathcal{D}$ -modules

**Example:**  $X = \mathbb{C}$ , singularities at  $\{0\}$ .



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# Regularity of $\mathcal{D}$ -modules

**Example:**  $X = \mathbb{C}$ , singularities at  $\{0\}$ .

Fuchsian

$$\overrightarrow{\text{regular}}: P = x\partial + 1 \qquad \overrightarrow{P u = 0} \rightsquigarrow u(x) = c(1/x) \qquad \text{polar singularities}$$



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**Fact:**  $\mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{P}, \mathcal{O}_{X}) \simeq \mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{Q}, \mathcal{O}_{X})$  as  $\mathbb{C}_{X}$ -modules.



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**Fact:**  $\mathcal{M}_P \not\simeq \mathcal{M}_Q$  as  $\mathcal{D}_X$ -modules.



A variation on  $\mathcal{M}_P \simeq \mathcal{M}_Q$ 

$$\begin{pmatrix} w_1 \\ w_2 \end{pmatrix} = \begin{pmatrix} 2aD_x K_0^*(2\sqrt{aD_x}) & 2\sqrt{aD_x}K_1^*(2\sqrt{aD_x}) \\ -I_0(2\sqrt{aD_x}) & \frac{1}{\sqrt{aD_x}}I_1(2\sqrt{aD_x}) \end{pmatrix} \begin{pmatrix} u \\ -xD_x u \end{pmatrix}.$$
Here  $I_v(\tau) \equiv \left(\frac{\tau}{2}\right)^v \sum_{n=0}^{\infty} \frac{(\tau/2)^{2n}}{n!\Gamma(v+n+1)}$  and
$$K_n^*(\tau) \equiv (-1)^n I_n(\tau) \log(\tau/2) + K_n(\tau)$$

$$= \frac{(-1)^n}{2} \sum_{k=0}^{\infty} \frac{\psi(k+1) + \psi(k+n+1)}{k!(n+k)!} \left(\frac{\tau}{2}\right)^{n+2k}$$

$$+ \frac{1}{2} \sum_{r=0}^{n-1} (-1)^r \frac{(n-r-1)!}{r!} \left(\frac{\tau}{2}\right)^{2r-n},$$
where  $\psi(n) = \sum_{k=0}^{n-1} \frac{1}{k} - \gamma$  with Euler's constant  $\gamma = 0.57721\cdots$ .

M. Kashiwara and T. Kawai, On Holonomic Systems of Microdifferential Equations III, (1981) + = >

singularity  $\{x = 0\}$  included

$$X = \mathbb{C}, \quad P_{\lambda} = x\partial - \lambda, \quad \operatorname{char}(\mathcal{M}_{P_{\lambda}}) = \overline{\{x\xi = 0\}},$$



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**Exceptions:**  $P_{-1} = x\partial + 1$   $P_0 = x\partial$ 



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stratification of  $\boldsymbol{X}$ 



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### Perverse sheaves

 $singularity \{x = 0\} \text{ included}$   $X = \mathbb{C}, \quad P_{\lambda} = x\partial - \lambda, \quad \operatorname{char}(\mathcal{M}_{P_{\lambda}}) = \{x\xi = 0\},$   $H^{0}L_{P_{\lambda}} = \mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{P_{\lambda}}, \mathcal{O}_{X}) = \ker\left(\mathcal{O}_{X} \xrightarrow{P_{\lambda}} \mathcal{O}_{X}\right) = \{u_{\lambda} \in \mathcal{O}_{X} : P_{\lambda} u_{\lambda} = 0\},$   $H^{1}L_{P_{\lambda}} = \mathcal{E}xt^{1}_{\mathcal{D}}(\mathcal{M}_{P_{\lambda}}, \mathcal{O}_{X}) = \operatorname{coker}\left(\mathcal{O}_{X} \xrightarrow{P_{\lambda}} \mathcal{O}_{X}\right) = \mathcal{O}_{X}/P_{\lambda}\mathcal{O}_{X}.$ 

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	$H^1L_{P_{-1}}=0$	$H^1L_{P_0}=\mathbb{C}_{\{0\}}$	$_{0\}} \neq H^{1}L_{\partial}$



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stratification of  $\boldsymbol{X}$ 

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$$L_{P_{\lambda}} = R\mathcal{H}om_{\mathcal{D}}(\mathcal{M}_{P_{\lambda}}, \mathcal{O}_{X}) = \left(\mathcal{O}_{X} \xrightarrow{P_{\lambda}} \mathcal{O}_{X}\right)$$
: a perverse sheaf



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### Holonomic $\mathcal{D}$ -modules

**Theorem:**  $\mathcal{M}$  coherent  $\implies$  char $(\mathcal{M}) \subset T^*X$  is involutive. In particular,  $\dim \operatorname{char}(\mathcal{M}) \geq \dim X = \frac{1}{2} \dim T^*X$ .

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 $\mathcal{M} \underbrace{\text{holonomic:}}_{\text{maximally overdetermined}} \text{ coherent } \& \dim \underbrace{\text{char}(\mathcal{M})}_{\text{Lagrangian}} = \dim X.$ 

non singular

 $\mathcal{M} \longmapsto \mathcal{H}om_{\mathcal{D}}(\mathcal{M}, \mathcal{O}_X)$ 

 $\mathcal{H}om_{\mathbb{C}}(L, \mathcal{O}_X) \longleftarrow L$ 



 $\overbrace{\mathsf{Riemann-Hilbert:}}^{\mathsf{regular}} \{ \mathsf{regular holonomic } \mathcal{D}_X \mathsf{-modules} \} \xrightarrow{\longleftarrow} \{ \mathsf{perverse sheaves} \}^{\mathrm{op}}$  $\mathcal{M} \longmapsto \mathsf{R} \mathcal{H}om_{\mathcal{D}}(\mathcal{M}, \mathcal{O}_X)$  $\underset{\mathsf{R} \mathcal{H}om_{\mathcal{D}}(L, \mathcal{O}_X^t) \longleftarrow}{\mathsf{I}} \mathsf{L}$ 



M. Kashiwara, The Riemann-Hilbert problem for holonomic systems, (1984).

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 $\mathcal{O}_X^t$ : ind-sheaf of "tempered holomorphic functions"



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**Example:**  $X = \mathbb{C}$ ,  $P_{-1} = x\partial + 1$ ,  $\mathcal{M}_{P_{-1}} \mapsto \mathbb{C}_{X \setminus \{0\}}$ :



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### Stokes phenomenon



Let *u* be the intensity of colored light in a supernumerary rainbow. It solves Airy's equation P u = 0, with  $P = \partial^2 - x$  irregular at  $x = \infty$ .

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George Stokes (1819–1903)

"... the inferior term enters as it were into a mist, is hidden for a little from view, and comes out with its coefficient changed."

Comments on his 1857 paper from a volume of Acta Mathematica dedicated to Abel (1902)



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irregular

**Riemann-Hilbert:** {holonomic  $\mathcal{D}_X$ -modules}  $\xrightarrow{\sim}$  {enhanced perverse ind-sheaves}<sup>op</sup>.



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### A titillating title

J. reine angew. Math. **751** (2019), 185–241 DOI 10.1515/crelle-2016-0062 Journal für die reine und angewandte Mathematik © De Gruyter 2019

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# Bravo Masaki for your Abel Prize!